

NOTICE AND PROOF OF CLAIM FOR DISABILITY BENEFITS

Read instructions on page 2 carefully to avoid a delay in processing. You must answer all questions in Part A and questions 1 through 3 in Part B. Health care providers must complete Part B on page 2.

PART A - CLAIMANT'S INFORMATION (Please Print or Type)

1. Last Name: _____ First Name: _____ MI: _____
 2. Mailing Address (Street & Apt. #): _____
 City: _____ State: _____ Zip: _____
 3. Daytime Phone #: _____ Email Address: _____
 4. Social Security #: _____ - _____ - _____ 5. Date of Birth: ____ / ____ / ____ 6. Gender: Male Female
 7. Describe your disability (if injury, also state how, when and where it occurred): _____

8. Date you became disabled: ____ / ____ / ____ Did you work on that day?: Yes No
 Have you recovered from this disability?: Yes No If Yes, date you were able to return to work: ____ / ____ / ____
 Have you since worked for wages or profit?: Yes No If Yes, list dates: _____

9. Name of last employer prior to disability. If more than one employer in previous eight (8) weeks, name all employers. Average Weekly Wage is based on all wages earned in last eight (8) weeks worked.

LAST EMPLOYER PRIOR TO DISABILITY			PERIOD OF EMPLOYMENT		Average Weekly Wage (Include Bonuses, Tips, Commissions, Reasonable Value of Board, Rent, etc.)
Firm or Trade Name	Address	Phone Number	First Day	Last Day Worked	
			Mo. Day Yr.	Mo. Day Yr.	
OTHER EMPLOYER (during last eight (8) weeks)			PERIOD OF EMPLOYMENT		Average Weekly Wage (Include Bonuses, Tips, Commissions, Reasonable Value of Board, Rent, etc.)
Firm or Trade Name	Address	Phone Number	First Day	Last Day Worked	
			Mo. Day Yr.	Mo. Day Yr.	
			Mo. Day Yr.	Mo. Day Yr.	

10. My job is or was: _____ Occupation
 11. Union Member: Yes No If "Yes": _____ Name of Union or Local Number

12. Were you claiming or receiving unemployment prior to this disability? Yes No
 If you did **not** claim or if you claimed but did **not** receive unemployment insurance benefits *after* LAST DAY WORKED, explain reasons fully: _____

If you did receive unemployment benefits, provide all periods collected: _____

13. For the period of disability covered by this claim:
 A. Are you receiving wages, salary or separation pay? Yes No
 B. Are you receiving or claiming:
 1. Workers' compensation for work-connected disability? Yes No
 2. Paid Family Leave? Yes No
 3. No-Fault motor vehicle accident? Yes No or personal injury involving third party? Yes No
 4. Long-term disability benefits under the Federal Social Security Act for *this* disability? Yes No

IF "YES" IS CHECKED IN ANY OF THE ITEMS IN 13, COMPLETE THE FOLLOWING:

I have: received claimed from: _____ for the period: ____ / ____ / ____ to: ____ / ____ / ____

14. In the year (52 weeks) before your disability began, have you received disability benefits for other periods of disability? Yes No
 If yes, Paid by: _____ from: ____ / ____ / ____ to: ____ / ____ / ____

15. In the year (52 weeks) before your disability began, have you received Paid Family Leave? Yes No
 If yes, Paid by: _____ from: ____ / ____ / ____ to: ____ / ____ / ____

16. If you became disabled while employed or within four weeks of your last day worked, did your employer provide you with your rights under Disability Law within 5 days of your notice or request for disability forms? Yes No

I hereby claim Disability Benefits and certify that for the period covered by this claim I was disabled. I have read the instructions on page 2 of this form and that the foregoing statements, including any accompanying statements are, to the best of my knowledge, true and complete.

 Claimant's Signature Date

An individual may sign on behalf of the claimant only if he or she is legally authorized to do so and the claimant is a minor, mentally incompetent or incapacitated. If signed by other than claimant, print information below and complete and submit Form OC-110A, Claimant's Authorization to Disclose Workers' Compensation Records.

 On behalf of Claimant Address Relationship to Claimant

PART B - HEALTH CARE PROVIDER'S STATEMENT (Please Print or Type)

THE HEALTH CARE PROVIDER'S STATEMENT MUST BE FILLED IN COMPLETELY. THE ATTENDING HEALTH CARE PROVIDER SHALL COMPLETE AND RETURN TO THE CLAIMANT WITHIN SEVEN (7) DAYS OF RECEIPT OF THIS FORM. For item 7-d, you must give estimated date. If disability is caused by or arising in connection with pregnancy, enter estimated delivery date in item 7-e. **INCOMPLETE ANSWERS MAY DELAY PAYMENT OF BENEFITS.**

1. Last Name: _____ First Name: _____ MI: _____
2. Gender: Male Female 3. Date of Birth: ___ / ___ / ___
4. Diagnosis/Analysis: _____ Diagnosis Code: _____
- a. Claimant's symptoms: _____
- b. Objective findings: _____
5. Claimant hospitalized?: Yes No From: ___ / ___ / ___ To: ___ / ___ / ___
6. Operation indicated?: Yes No a. Type _____ b. Date ___ / ___ / ___

7. ENTER DATES FOR THE FOLLOWING	MONTH	DAY	YEAR
a. Date of your first treatment for this disability			
b. Date of your most recent treatment for this disability			
c. Date Claimant was unable to work because of this disability			
d. Date Claimant will again be able to perform work (Even if considerable question exists, estimate date. Avoid use of terms such as unknown or undetermined.)			
e. If pregnancy related, please check box and enter the date <input type="checkbox"/> estimated delivery date OR <input type="checkbox"/> actual delivery date			

8. In your opinion, is this disability the result of injury arising out of and in the course of employment or occupational disease?:
 Yes No If "Yes", has Form C-4 been filed with the Board? Yes No

I certify that I am a:

_____ (Physician, Chiropractor, Dentist, Podiatrist, Psychologist, Nurse-Midwife)	_____ Licensed or Certified in the State of	_____ License Number
_____ Health Care Provider's Printed Name	_____ Health Care Provider's Signature	_____ Date
_____ Health Care Provider's Address		_____ Phone #

IMPORTANT NOTICE TO CLAIMANT - READ THESE INSTRUCTIONS CAREFULLY

PLEASE NOTE: Do not date and file this form prior to your first date of disability. In order for your claim to be processed, Parts A and B must be completed.

1. If you are using this form because you became disabled **while employed** or you became disabled **within four (4) weeks after termination of employment**, your completed claim should be mailed **within thirty (30) days of your first date of disability to your employer or your last employer's insurance carrier**. You may find your employer's disability insurance carrier on the Workers' Compensation Board's website, www.wcb.ny.gov, using Employer Coverage Search.

2. If you are using this form because you became **disabled after having been unemployed for more than four (4) weeks**, your completed claim **MUST** be mailed to: **Workers' Compensation Board, Disability Benefits Bureau, PO Box 9029, Endicott, NY 13761-9029**. If you answered "Yes" to question 13.B.3, please complete and attach Form DB-450.1.

If you do not receive a response within 45 days or if you have questions about your disability benefits claim, please call your employer's insurance carrier. For general information about disability benefits, please visit www.wcb.ny.gov or call the Board's Disability Benefits Bureau at (877) 632-4996.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 U.S.C. § 552a). The Workers' Compensation Board's (Board's) authority to request that claimants provide personal information, including their social security number, is derived from the Board's investigatory authority under Workers' Compensation Law (WCL) § 20, and its administrative authority under WCL § 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate claim records. Providing your social security number to the Board is voluntary. There is no penalty for failure to provide your social security number on this form; it will not result in a denial of your claim or a reduction in benefits. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.

HIPAA NOTICE - In order to adjudicate a workers' compensation claim or disability benefits claim, WCL 13-a(4)(a) and 12 NYCRR 325-1.3 require health care providers to regularly file medical reports of treatment with the Board and the insurance carrier or employer. Pursuant to 45 CFR 164.512 these legally required medical reports are exempt from HIPAA's restrictions on disclosure of health information.

Disclosure of Information: The Board will not disclose any information about your case to any unauthorized party without your consent. If you choose to have such information disclosed to an unauthorized party, you must file with the Board an original signed Form OC-110A "Claimants Authorization to Disclose Workers' Compensation Records." This form is available on the WCB website (www.wcb.ny.gov) and can be accessed by clicking the "Forms" link. If you do not have access to the internet please call (877) 632-4996 or visit our nearest Customer Service Center to obtain a copy of the form. In lieu of Form OC-110A, you may also submit an original signed, notarized authorization letter.

An employer or insurer, or any employee, agent, or person acting on behalf of an employer or insurer, who **KNOWINGLY MAKES A FALSE STATEMENT OR REPRESENTATION** as to a material fact in the course of reporting, investigation of, or adjusting a claim for any benefit or payment under this chapter for the purpose of avoiding provision of such payment or benefit **SHALL BE GUILTY OF A CRIME AND SUBJECT TO SUBSTANTIAL FINES AND IMPRISONMENT.**

Part C - EMPLOYER'S STATEMENT

1. Employee's Name: _____ 2. Soc. Sec. No:

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3. Employee's Address: _____
Number Street Apartment Number City / Town State Zip Code

4. Employee's Occupation: _____ 4. Date of Hire: _____ 6. Status: Full Time Part Time

7. Is the Claimant an: Owner Officer Partner Employee High School Student

8. Indicate the employee's normal work schedule: Mon Tues Wed Thur Fri Sat Sun

9. If the employee is no longer in your employ, explain why: Quit? Discharged? Labor Dispute? Lack of Work?

If Quit or Discharged explain why _____ Do you expect to rehire him/her? Yes No

10. Date Employee last worked: _____

11. Date Employee's Wages Ceased: _____

12. Date Employee Returned to Work: _____

13. Are Wages being Continued during Disability? Yes No

14. If YES, are you requesting reimbursement?..... Yes No

15. Is Employee receiving or claiming Unemployment Ins? Yes No

16. Is Employee receiving or claiming Workers' Comp. Ins? Yes No

17. Did this Disability occur as a result of employment? Yes No

18. Is Employee in a Union providing Disability Benefits? Yes No

19. Are you aware of other employment claimant may have?..... Yes No

20. Did Employee receive PAID SICK TIME during disability?..... Yes No

Weekly Wages 8 Weeks prior to Disability			GROSS WEEKLY WAGES
(include value of Board, Lodging, and Tips if any)			
Week Ending	No. of Days Worked		
Month Day Year			
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
TOTAL			

If YES, provide dates of paid sick time: From: _____ To: _____

EMPLOYER INFORMATION:

NYSIF DISABILITY POLICY NUMBER:

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Date: _____

Employer NAME: _____ Phone No. _____ Fax No. _____

ADDRESS: _____ E-mail: _____

SIGNATURE: _____ Print name: _____ Title: _____